



PATENT
Customer No. 22,852
Attorney Docket No. 07944.0005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Paul FAETH) Group Art Unit: 2163
Application No.: 09/986,790) Examiner: Unknown
Filed: November 9, 2001)
For: METHODS, APPARATUS, AND)
ARTICLES OF MANUFACTURE)
FOR MANAGING NUTRIENT)
TRADING)

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MAY 14 2002

Technology Center 2100

Commissioner for Patents
Washington, DC 20231

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicant brings to the attention of the Examiner the document listed on the attached PTO 1449. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

A copy of the listed document is attached. The listed document is referenced on page 2 of the specification of this application.

Applicant respectfully requests that the Examiner consider the listed document and indicate that it was considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that the listed document is

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material or constitutes "prior art." If the Examiner applies the document as prior art against any claim in the application and Applicant determines that the cited document does not constitute "prior art" under United States law, Applicant reserves the right to present to the Office the relevant facts and law regarding the appropriate status of such document.

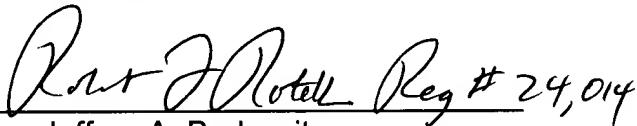
Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should the document be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: May 10, 2002

By: 
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INFORMATION DISCLOSURE CITATION

Atty. Docket No.	07944.0005	Appln. No.	09/986,790
Applicant	Paul FAETH		
Filing Date	November 9, 2001	Group:	2163

U.S. PATENT DOCUMENTS

Examiner Initial*		Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
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FOREIGN PATENT DOCUMENTS

		Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Paul Faeth, "Fertile Ground: Nutrient Trading's Potential to Cost-Effectively Improve Water Quality", World Resources Institute 2000

Examiner _____ Date Considered _____

***Examiner:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.